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## TRANSMITTAL FORM

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Total Number of Pages in This Submission 3

Application Number	10/597,162
Filing Date	July 13, 2006
First Named Inventor	Zhaolin Lu
Art Unit	2883
Examiner Name	Brian Healy
Attorney Docket No.	UOD-188US

### ENCLOSURES (Check all that apply)

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Remarks:

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	Ratner Prestia		
Signature			
Printed Name	Rex A. Donnelly		
Date	October 9, 2007	Registration No.	41,712

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PTO/SB/98 (11-05)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Zhaolin Lu, Dennis W. PratherApplication No./Patent No.: 10/597,162Filed/Issue Date: July 13, 2006Entitled: Optical Coupler for Coupling an Optical Fiber into a Waveguide

University of Delaware

a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; OR
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- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018101, Frame 0579, or for which a copy thereof is attached.

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- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 36 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Dr. C. A. Thoroughgood  
Signature10/5/07  
Date

Dr. C. A. Thoroughgood

302-831-4007

Printed or Typed Name

Telephone Number

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Title

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